section 3(3) shall have regard to—

(i) the commercial or technical nature of the

(ii) confidentiality under subsection (3), shall have regard to—

The Commission shall, when deciding

Reasons shall consider the request and take a decision and state the

Where the applicant made a request to keep any

be made to the Commission in the form set out in Schedule 1.

and producer groups up to 1000kw to continue to

and producer groups up to 1000kw to continue to

An application for registration for the

2(1) An application for registration for the

Commission in the form set out in Schedule 1.

distribution of supply of electricity shall be made to the

Commission or electricity above 1000kw to transmission,

Commission or electricity above 1000kw to transmission,

An application for registration for the

These Regulations may be cited as the Energy

These Regulations—

Energy Act, 2012

ENERGY ACT, 2012

ST 7 OF 2016

Energy (Licensce) Regulations, 2016

(Rev 11 of 2012)
a request from the applicant, considered confidential where there is such a request.

(1) The Commission shall, on receipt of an application—

(a) enter in the register of applications;

(b) The Commission shall, on receipt of the application, publish on its website the inspection at the office of the Commission and, as far as practicable, make the registers available for inspection under subsection (1) available to the public for inspection at the office of the Commission;

(c) The Commission shall make the registers available for inspection at the office of the Commission and publish on its website a schedule of registration certificates, licences and other documents referred to in subsection (3) of the Act.

(d) The Commission shall make the registers available for inspection at the office of the Commission and publish on its website a schedule of registration certificates, licences and other documents referred to in subsection (3) of the Act.

(e) The Commission shall make the registers available for inspection at the office of the Commission and publish on its website a schedule of registration certificates, licences and other documents referred to in subsection (3) of the Act.

(f) The Commission shall make the registers available for inspection at the office of the Commission and publish on its website a schedule of registration certificates, licences and other documents referred to in subsection (3) of the Act.

(g) The Commission shall make the registers available for inspection at the office of the Commission and publish on its website a schedule of registration certificates, licences and other documents referred to in subsection (3) of the Act.

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(j) The Commission shall make the registers available for inspection at the office of the Commission and publish on its website a schedule of registration certificates, licences and other documents referred to in subsection (3) of the Act.

(k) The Commission shall make the registers available for inspection at the office of the Commission and publish on its website a schedule of registration certificates, licences and other documents referred to in subsection (3) of the Act.

(l) The Commission shall make the registers available for inspection at the office of the Commission and publish on its website a schedule of registration certificates, licences and other documents referred to in subsection (3) of the Act.

(m) The Commission shall make the registers available for inspection at the office of the Commission and publish on its website a schedule of registration certificates, licences and other documents referred to in subsection (3) of the Act.

(n) The Commission shall make the registers available for inspection at the office of the Commission and publish on its website a schedule of registration certificates, licences and other documents referred to in subsection (3) of the Act.

(o) The Commission shall make the registers available for inspection at the office of the Commission and publish on its website a schedule of registration certificates, licences and other documents referred to in subsection (3) of the Act.

(p) The Commission shall make the registers available for inspection at the office of the Commission and publish on its website a schedule of registration certificates, licences and other documents referred to in subsection (3) of the Act.

(q) The Commission shall make the registers available for inspection at the office of the Commission and publish on its website a schedule of registration certificates, licences and other documents referred to in subsection (3) of the Act.

(r) The Commission shall make the registers available for inspection at the office of the Commission and publish on its website a schedule of registration certificates, licences and other documents referred to in subsection (3) of the Act.

(s) The Commission shall make the registers available for inspection at the office of the Commission and publish on its website a schedule of registration certificates, licences and other documents referred to in subsection (3) of the Act.

(t) The Commission shall make the registers available for inspection at the office of the Commission and publish on its website a schedule of registration certificates, licences and other documents referred to in subsection (3) of the Act.

(u) The Commission shall make the registers available for inspection at the office of the Commission and publish on its website a schedule of registration certificates, licences and other documents referred to in subsection (3) of the Act.

(v) The Commission shall make the registers available for inspection at the office of the Commission and publish on its website a schedule of registration certificates, licences and other documents referred to in subsection (3) of the Act.

(w) The Commission shall make the registers available for inspection at the office of the Commission and publish on its website a schedule of registration certificates, licences and other documents referred to in subsection (3) of the Act.

(x) The Commission shall make the registers available for inspection at the office of the Commission and publish on its website a schedule of registration certificates, licences and other documents referred to in subsection (3) of the Act.

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(z) The Commission shall make the registers available for inspection at the office of the Commission and publish on its website a schedule of registration certificates, licences and other documents referred to in subsection (3) of the Act.

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(Y) The Commission shall make the registers available for inspection at the office of the Commission and publish on its website a schedule of registration certificates, licences and other documents referred to in subsection (3) of the Act.

(Z) The Commission shall make the registers available for inspection at the office of the Commission and publish on its website a schedule of registration certificates, licences and other documents referred to in subsection (3) of the Act.
(a) By submitting one original and three copies of the application, procedure, charges and fees (Regulation 2015 —)

V. Person may make an application for license to

in Schedule VI,

for supply of electricity, in the form set out

in Schedule VI,

for distribution of electricity, in the form

in Schedule V,

for transmission of electricity, in the form

in Schedule IV;

and (d) for the registration certificate, in the form

and so registration in to 100 kW, in the form

for every producer and every producer group.

license. Where the application is deemed to be complete, an application shall be made to the Commission for granting the application referred to in the application under these regulations.

(1) Where the Commission is satisfied, an application shall be made to the Commission for granting the license.

(2) The Town and County Planning Authority shall, in consultation with the Commission, make a decision on the

(3) Where any additional information or documents are necessary to complete this requisition, the-relation of documents

the application for the registration certificate, under these regulations.

(1) On receipt of the registration certificate, from the

(1) Where an application was not duly and correctly

(2) The Town and County Planning Authority shall be made to the Town and County Planning Authority in the form prescribed under the

(3) The Town and County Planning Authority shall be made to the Town and County Planning Authority in the form prescribed under the

(4) Where the Commission refuses an application, it shall state the reasons for the refusal and notify the applicant.

(5) The decision of the Commission granting or refusing the registration certificate shall be communicated to the applicant and published on the website of the Commission.

(6) With all the requirements and criteria of the Commission for the application certification, the applicant may apply to the Commission for the registration certificate, under these regulations, in the form prescribed under these regulations. Where the application is deemed to be complete, an application shall be made to the Commission for granting the license. Where the application is deemed to be complete, an application shall be made to the Commission for granting the license.
WHERE the Commission considers that the

(1) The Commission shall publish a notice of the

(2) The notice under subsection (1) shall state—

(3) Where the application is deemed to have been filed

(4) Where the Commission determines that no

(5) Where the application was not duly and correctly

(6) Where an application was made within a period of one year from the date of granting the

(7) Where an application is made in electronic form by using website of the

8.(1) The Commission shall, on receipt of an

(2) The fee payable under subsection (1) shall be

(3) Where the application was not due, the

(4) Where an application is not made in electronic form by using website of the

(5) Where an application is made in electronic form by using website of the

(6) Where an application was not

(7) Where an application was not

(8) Where an application was not

(9) Where an application was not
For licence for distribution of privatized undertaking, the application shall be processed by the appropriate regulator. Where the Minister does not act on the application, the application may be considered for licence for distribution of a previous undertaking.

(9) For licence for distribution of the application, the Minister shall inform the Commission and the Commission may, after the Commission decides whether the application should be processed for licence for distribution of the application, declare the application as not being processed for licence for distribution of the application.

(10) The Commission may, if considered necessary, declare the application as not being processed for licence for distribution of the application.

(11) The Commission may, if considered necessary, declare the application as not being processed for licence for distribution of the application.

(12) The Commission may, if considered necessary, declare the application as not being processed for licence for distribution of the application.

(13) The Commission may, if considered necessary, declare the application as not being processed for licence for distribution of the application.

(14) The Commission may, if considered necessary, declare the application as not being processed for licence for distribution of the application.

(15) The Commission may, if considered necessary, declare the application as not being processed for licence for distribution of the application.

(16) The Commission may, if considered necessary, declare the application as not being processed for licence for distribution of the application.
A licensee shall:

A. Provide accurate information.
B. Supply all necessary information.
C. Report any changes or additions to the information.
D. Keep all records for at least 5 years.
E. Not disclose any information to unauthorized persons.
F. Not use the information for any unauthorized purpose.
G. Not make any false or misleading statements.
H. Not use the information for any fraudulent purposes.
I. Not use the information for any charitable purposes.
J. Not use the information for any educational purposes.
K. Not use the information for any research purposes.
L. Not use the information for any governmental purposes.
M. Not use the information for any commercial purposes.
N. Not use the information for any personal purposes.
O. Not use the information for any entertainment purposes.

Any person who uses the information for any unauthorized purpose shall be liable to a fine of up to $10,000 and imprisonment for up to 1 year.

The Commission shall:

A. Review and approve all applications.
B. Revoke any license for any reason.
C. Revise any license for any reason.
D. Require any additional information.
E. Require any additional documentation.
F. Require any additional fees.
G. Require any additional training.
H. Require any additional testing.
I. Require any additional conditions.
J. Require any additional restrictions.
K. Require any additional penalties.
L. Require any additional fines.
M. Require any additional imprisonment.
N. Require any additional licensing fees.
O. Require any additional licensing conditions.

Any person who fails to comply with any provision of this Act shall be liable to a fine of up to $10,000 and imprisonment for up to 1 year.

The provisions of this Act shall take effect immediately upon publication in the Official Gazette.

[15th February 2016]
PART 1

SUPPLY OF ELECTRICITY
TRANSMISSION, DISTRIBUTION AND THE

FOR CERTIFICATE OF REGISTRATION
[REGULATION 2(1)]

SCHEDULE 1

AS THE COMMISSION DETERMINES.

16. We the Commission shall monitor the licensee's daily performance of the business, operation of the distribution network periodically, and in case of non-compliance with the conditions of the license, the Commission may take action against the licensee in accordance with the law and regulations.
PART 3

The notice to the applicant must be signed by the applicant or the applicant's legal representative.

Each document attached to the questionnaire must be clearly identified and attached to all other documents before submitting it to the Commission.

The applicant must complete each section of the questionnaire.

NOTE:

Applicant's legal representative must be signed by the applicant or the applicant's legal representative.

Each document attached to the questionnaire must be clearly identified and attached to all other documents before submitting it to the Commission.

The applicant must complete each section of the questionnaire.

GENERAL MANAGER

Finance Manager

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<tr>
<th>Requirement</th>
<th>Yes</th>
<th>No</th>
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<tbody>
<tr>
<td>Evidence of operational and organizational requirements (15)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>CV (education) View of Experience of Project Members</td>
<td></td>
<td></td>
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<tr>
<td>Experience on Operation of EF Concession</td>
<td></td>
<td></td>
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<tr>
<td>Evidence of regulatory experience of EF Concession in similar</td>
<td></td>
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<tr>
<td>CV with EF Concession (Agreement, Agreement, etc.)</td>
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<tr>
<td>Evidence on operational and organizational requirements (16)</td>
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<tr>
<td>CV (Professional) together with any other credentials of the applicant</td>
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<tr>
<td>Yes-No</td>
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<tr>
<td>Supplementary evidence to be attached</td>
<td>Yes</td>
<td>No</td>
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</table>

[15th February 2016] Supplemeto to Official Gazette
CO-GENERATION

OR UPGRADE A RENEWABLE ENERGY INSTALLATION OR PRODUCERS, AUTO PRODUCER GROUPS TITO 105 LV TO CONSTRUCT

APPLICATION FOR REGISTRATION CERTIFICATE FOR AUTO

SCHEDULE II

<table>
<thead>
<tr>
<th>Sl. No.</th>
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<tbody>
<tr>
<td>1</td>
<td>Applicant's Details</td>
</tr>
<tr>
<td>2</td>
<td>Whiling Address (address where any information may be sent)</td>
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<tr>
<td>3</td>
<td>Contact Name of Company</td>
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<tr>
<td>4</td>
<td>Contact Telephone No.</td>
</tr>
<tr>
<td>5</td>
<td>Details of Premises where the installation is located (If more applicable)</td>
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<tr>
<td>6</td>
<td>Other (specify)</td>
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<tr>
<td>7</td>
<td>Address of Institution</td>
</tr>
</tbody>
</table>

[Regulation 22]

PART I TO be filled by applicant (one BLOCK letters please)
<table>
<thead>
<tr>
<th>Name of Company/Corporation</th>
<th>Address</th>
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</thead>
<tbody>
<tr>
<td>[Name]</td>
<td>[Address]</td>
</tr>
</tbody>
</table>

Date of Application: [Date]

[Signature]

[Printed Name]

[Date] February 2016

Supplement to Official Gazette
Provided:
24. Details of the machinery services that the generating station will be capable of

25. Information of Turbine

26. Information of Boiler

27. Information of Switchgear

28. Information of Control System

29. Information of Energy Management System

30. Information of Power Station

31. Information of Distribution System

32. Information of Transmission System

33. Information of Control System

34. Information of Energy Management System

35. Information of Power Station

36. Information of Distribution System

37. Information of Transmission System

38. Information of Control System

39. Information of Energy Management System

40. Information of Power Station

41. Information of Distribution System

42. Information of Transmission System

43. Information of Control System

44. Information of Energy Management System

45. Information of Power Station

46. Information of Distribution System

47. Information of Transmission System

48. Information of Control System

49. Information of Energy Management System

50. Information of Power Station

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Section C: General Technical Information

PART II. To be filled by Certain Insurer (or BLOCK Letters please)

For Wind

1. No. Of Units

2. Type of Wind Turbine (i.e. one)

3. Diameter of Blade

4. Speed of Blade

5. Rated Power

6. Cut-in Wind Speed

7. Cut-out Wind Speed

8. Rated Wind Speed

9. Maximum Wind Speed

10. Power Available

11. Power Available

12. Power Available

13. Power Available

14. Power Available

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Data Protection Notice

You may request the deletion of such data.

Commission in any case if you consider that certain information about you is inaccurate.

All requests for correction of information are subject to the provisions of the Privacy Act and any relevant laws. You have the right to lodge a complaint with the Information Commissioner's Office if you consider that your personal data have been processed in breach of the Privacy Act.
**Application Instructions**

**Expected Operation Date:**

**Application FROM:**

**Transfer of the Licence**

**Extension of the Licence**

**Addition to Production Group Licence**

**Addition to Production License**

**Co-generation Licence**

**Applicant Applying for (please tick one of the following):**

- Application for Licence for Auto Producers
- Application for Licence for Auto Producers - Schedule III
- Co-generation Licence

<table>
<thead>
<tr>
<th>Co-generation Plant</th>
<th>For Registration to Construct/Purchase an Auto Producer</th>
</tr>
</thead>
</table>
**Specific Information on Auto Production Plant by Unit**

**Previous Activities of the Applicant in the Electric Sector**

- **Name and Qualification of Contact Person**
- **Contact Address**
- **Telephone No.**
- **Email Address**

**Position Held:**

- **Name and Qualification of Contact Person**
- **Contact Address**
- **Telephone No.**
- **Email Address**

**Company / Association / Public Body, Partnership, Limited Body:**

- **Position Held:**

<table>
<thead>
<tr>
<th>Source</th>
<th>Installed Capacity (MW)</th>
<th>Generation</th>
<th>Source</th>
<th>Installed Capacity (MW)</th>
<th>Generation</th>
</tr>
</thead>
</table>

**EVIDENCES**

- **Copy of Original or Certified Documents to be Attached**

**Instructions:** Please place a tick on the right if applicable.
<table>
<thead>
<tr>
<th>Statement</th>
<th></th>
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<tbody>
<tr>
<td>1. Where the application is submitted</td>
<td></td>
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<tr>
<td>2. Was the application received within the prescribed time?</td>
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<tr>
<td>3. Are all necessary applications received to other applicable conditions?</td>
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<tr>
<td>4. Does the application meet all applicable conditions?</td>
<td></td>
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</tbody>
</table>

<table>
<thead>
<tr>
<th>Post of the Authorized Person</th>
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</table>

The statement of the signatures and submission of the application is the final decision and cannot be appealed to any authority in the absence of my knowledge. All information, evidence and documents appended (name and signature) || Authorised Person |

---

**Table:**

<table>
<thead>
<tr>
<th>Column 1</th>
<th>Column 2</th>
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<tbody>
<tr>
<td>Statement</td>
<td></td>
</tr>
<tr>
<td>1. If the application is a copy of another application, it is a copy of the VITA Certificate, and the application is a copy of the VITA Certificate, and the application is a copy of the VITA Certificate.</td>
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<td>2. The application is a copy of another application, and the application is a copy of the VITA Certificate, and the application is a copy of the VITA Certificate.</td>
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<tr>
<td>3. The application is a copy of another application, and the application is a copy of the VITA Certificate, and the application is a copy of the VITA Certificate.</td>
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<tr>
<td>4. The application is a copy of another application, and the application is a copy of the VITA Certificate, and the application is a copy of the VITA Certificate.</td>
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<td>5. The application is a copy of another application, and the application is a copy of the VITA Certificate, and the application is a copy of the VITA Certificate.</td>
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<td>13. The application is a copy of another application, and the application is a copy of the VITA Certificate, and the application is a copy of the VITA Certificate.</td>
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</tbody>
</table>
### Application Instructions

**Name of Applicant (Legal Entity)**

Application does not suffer, please refer to attached additional blank again of paper.

In the event that the space provided for the attachment of a given category in the certificate (noted above), please amendment of appropriate fields to be provided in different sections of approved.

Certificate of authorized documents

Electronic version

File: Request for electricities, written on paper.

The applicant should submit the completed application form in print and electronic version.

---

**Schedule A**

- **Supplement to Official Gazette**
  - [31st February 2016]

- **Supplement to Official Gazette**
  - [13th February 2016]
<table>
<thead>
<tr>
<th>1.</th>
<th>2.</th>
<th>3.</th>
<th>4.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Business plan of the applicant for the programming year (2) years</td>
<td>Application copy of registration certificate obtained from the commission</td>
<td>The required formats for submission of business Registration issued by</td>
<td>Application for continuation of business Registration issued by</td>
</tr>
<tr>
<td>5.</td>
<td>6.</td>
<td>7.</td>
<td>8.</td>
</tr>
<tr>
<td>Certificate of registration copy of the shareholders</td>
<td>Certificate of the company for the current year</td>
<td>Certificate of the company for the application period</td>
<td>Certificate of the company for the current year</td>
</tr>
<tr>
<td>9.</td>
<td>10.</td>
<td>11.</td>
<td>12.</td>
</tr>
<tr>
<td>Environmental protection issued by the company</td>
<td>Environmental protection issued by the company</td>
<td>Environmental protection issued by the company</td>
<td>Environmental protection issued by the company</td>
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<tr>
<td>13.</td>
<td>14.</td>
<td>15.</td>
<td>16.</td>
</tr>
<tr>
<td>Evidence of payment of all applicable licensing fees</td>
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<td>Evidence of payment of all applicable licensing fees</td>
</tr>
<tr>
<td>17.</td>
<td>18.</td>
<td>19.</td>
<td>20.</td>
</tr>
<tr>
<td>Certificate of compliance [CVP] of the applicant’s management and sub committee</td>
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<tr>
<td>APPLICATION INSTRUCTIONS</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>--------------------------</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1. Application for Transmission of Electricity Licence (items 7 &amp; 12)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2. Support to Official Gazette</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Schedule A**

<table>
<thead>
<tr>
<th>Statement</th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. The application is submitted for a transmission licence subject to environmental protection or any necessary pollution control or environmental protection of the proposed facility</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2. Information within the application is attached to the application</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3. All the supporting documents referred to in the application are placed in the application</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4. The applicant's licence is supplemented with this statement</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
Support in Official Cases
<table>
<thead>
<tr>
<th>Page</th>
<th>Text</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.2</td>
<td>the commission, copy of registration certificate, copy of business commission, copy of registration issued to registered business.</td>
</tr>
<tr>
<td>2.9</td>
<td>Documents to be attached please place a tick on the right for all.</td>
</tr>
<tr>
<td>3.5</td>
<td>Previous activities of the applicant in the electricity sector.</td>
</tr>
<tr>
<td>4.2</td>
<td>Address of the applicant in the electricity sector.</td>
</tr>
<tr>
<td>5.1</td>
<td>Contact details of the applicant in the electricity sector.</td>
</tr>
<tr>
<td>6.1</td>
<td>General information on the applicant.</td>
</tr>
<tr>
<td>STATEMENT</td>
<td></td>
</tr>
<tr>
<td>-----------</td>
<td>--</td>
</tr>
<tr>
<td>1. Applicable registration, if any, is in good standing and current? Yes</td>
<td>No</td>
</tr>
<tr>
<td>2. Application received within five (5) years from the date of filing? Yes</td>
<td>No</td>
</tr>
<tr>
<td>3. Act a final order? Yes</td>
<td>No</td>
</tr>
<tr>
<td>4. The Applicants’/Owner’s Representative is appropriately qualified? Yes</td>
<td>No</td>
</tr>
</tbody>
</table>

INSTRUCTIONS: PLEASE ANSWER THE FOLLOWING STATEMENTS WITH YES OR NO.
APPLICATIONS STAMP

1. Application for registration as a business organisation

2. Copy of registration certificate obtained from the registrar of companies for the company/society

3. Application for registration for the purpose of business

4. Joint venture agreement where applicable

INSTRUCTION: PLEASE PLACE ALL THE RIGHT AT DOCUMENTS TO BE ATTACHED

DOCUMENTS TO BE ATTACHED

SCHEDULE I

APPLICATION IN THE ELECTRICITY SECTOR OF

SCHEDULE II

APPLICATION FOR LICENCE FOR ELECTRICITY SUPPLY

APPLICATION FOR LICENCE FOR ELECTRICITY SUPPLY

[Regulations 7(1)(a) and 12]

[Supplement to Official Gazette 15th February 2016]
**AND CLIMATE CHANGE**

**MINISTER OF ENVIRONMENT, ENERGY,\n AND CLIMATE CHANGE**

**DEPARTMENT**

MADE this 4th day of February, 2016.

<table>
<thead>
<tr>
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<tbody>
<tr>
<td>SIGNATURE</td>
</tr>
<tr>
<td>LOCATION</td>
</tr>
<tr>
<td>DATE</td>
</tr>
</tbody>
</table>

| POST OF THE AUTHORIZED PERSON |

The object of these regulations is the protection of the information in the application and to ensure that the application is accurate and complete. The application must be signed by the person signing the application form.

<table>
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<td>4. Was the application completed on time?</td>
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[15th February 2016]

Supplementary to Official Gazette